ANNEX J

Local Matters

In Saskatchewan, the following provisions of *The Securities Act, 1988* (the Act) provide the Financial and Consumer Affairs Authority of Saskatchewan (the Authority) with the authority to implement the amendments:

- Paragraph 154(1)(l) of the Act authorizes the Authority make regulations regulating trading or advising in securities or exchange contracts to prevent trading or advising that is fraudulent, manipulative, deceptive or unfairly detrimental to investors.
- Paragraph 154(1)(t) of the Act authorizes the Authority to make regulations requiring issuers or other persons and companies to comply, in whole or in part, with Part XIV (Continuous Disclosure).
- Paragraph 154(1)(ff) of the Act authorizes the Authority to make regulations prescribing all taxes, fees and other charges payable to the Authority.
- Paragraph 154(1)(ff.1) of the Act authorizes the Authority to make regulations respecting the delegation by the Authority of any Saskatchewan authority to an extra-provincial securities commission pursuant to section 147.2.
- Paragraph 154(1)(ff.2) of the Act authorizes the Authority to make regulations respecting the acceptance by the Authority of any delegation or other authority of an extra-provincial authority from an extra-provincial securities commission.
- Paragraph 154(1)(ff.7) of the Act authorizes the Authority to make regulations respecting the administration of extra-provincial securities laws arising from or as a result of any matters described in clauses (ff.1) to (ff.5).
- Paragraph 154(1)(jj) of the Act authorizes the Authority to make regulations respecting the filing of records pursuant to the Act or the regulations;
- Paragraph 154(1)(ss) of the Act authorizes the Authority to make regulations adopting or incorporating by reference, as amended from time to time or otherwise either before, on or after the making of the regulations, all or any part of laws, codes, standards, bylaws, rules and other regulatory instruments.